

| Tracking Information  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|
| First Received Date ICMJE   | November 29, 2010  |  |  |  |  |  |
| Last Updated Date   | August 28, 2013  |  |  |  |  |  |
| Start Date ICMJE  | January 2011   |  |  |  |  |  |
| Estimated Primary Completion<br>Date  | December 2014 (final data collection date for primary outcome measure)   |  |  |  |  |  |
| Current Primary Outcome<br>Measures ICMJE<br>(submitted: November 30, 2010)   | Determine if autologous hUCB transplantation is safe and free of infusion related toxicity. [Time Frame: 0-21 days post cellular product infusion ] [Designated as safety issue: Yes ]   |  |  |  |  |  |
| Original Primary Outcome<br>Measures ICMJE                                    | Same as current  |  |  |  |  |  |
| Change History  | story Complete list of historical versions of study NCT01251003 on ClinicalTrials.gov Archive Site   |  |  |  |  |  |
| Current Secondary Outcome<br>Measures ICMJE<br>(submitted: November 30, 2010) | Determine if autologous hUCB transplantation improves post-TBI neuropsychological and imaging outcomes measures. [Time Frame: 6 months, 12 months, 24 months post cellular product infusion ]<br>[Designated as safety issue: No ] |  |  |  |  |  |
| Original Secondary Outcome<br>Measures ICMJE                                  | Same as current  |  |  |  |  |  |
| Current Other Outcome<br>Measures ICMJE                                       | Not Provided   |  |  |  |  |  |
| Original Other Outcome<br>Measures ICMJE                                      | Not Provided   |  |  |  |  |  |
|   |  |  |  |  |  |  |
|   |  |  |  |  |  |  |

## **Descriptive Information**

| Brief Title ICMJE    | Safety Study of Umbilical Cord Blood To Treat Pediatric Traumatic Brain Injury  |  |  |  |  |  |
|----------------------|---|--|--|--|--|--|
| Official Title ICMJE | Safety of Autologous Human Umbilical Cord Blood Treatment for Traumatic Brain in Children   |  |  |  |  |  |
| Brief Summary        | The purpose of this study is to determine if it is safe to use stored autologous Human Umbilical Cord Blood (hUCB) to treat pediatric patients that sustain a severe or moderate Traumatic Brain Injury (TBI), and have not fully recovered as measured by the Glasgow Outcome Score-Expanded (GOS-EC)/Child at 6 to 18 months post-injury. |  |  |  |  |  |

| Detailed Description | Traumatic brain injury is the primary cause of pediatric trauma related morbidity and mortality. Currently there is no reparative therapeutic option available, and all interventions are designed to prevent injury progression or secondary brain injury. Pre-clinical data suggest that progenitor cellular infusions may reduce the severity of injury by a number of proposed mechanisms. The current study proposes a Phase 1 Safety Trial using stored autologous UCB to treat patients that sustain a severe or moderate TBI, and have not fully recovered as measured by the Glasgow Outcome Score-Expanded/Child at 6 to 18 months post-injury. We have chosen to use one bank that uses standardized processing and storage protocol to reduce cell product variability.  |
|----------------------|--|
|                      | Families who have banked hUCB at Cord Blood Registry, Inc. (CBR), will be prospectively notified of the possibility of using their child's stored UCB if they sustain a moderate or severe TBI and have a persistent deficit at 6-18 months. Prior to enrolling in the study, patients will have their medical records, imaging studies reviewed, and a telephone interview will determine potential eligibility and exclusion criteria. If eligible, the patients will travel to Houston to undergo a medical history and physical exam, neuropsychiatric evaluation, DT-MRI imaging of the brain, and baseline laboratory evaluation. The UCB will be shipped to the Center for Cell and Gene Therapy for reanimation and characterization/determination of release criteria of the cell product (contamination-free). The UCB will be infused intravenously and the patient will be monitored as an in-patient in the Pediatric Intensive Care Unit (PICU) located within Children's Memorial Hermann Hospital for 24 hours, after which the patient will be discharged but will return the next day for a final examination. Follow-up visits will occur back at UT-Houston at 180 days, 1 year and 2 years post-infusion - these visits will include medical history and physical exam, neurological and neuropsych evaluations, and DT-MRI imaging of the brain. |
| Study Type ICMJE     | Interventional   |
| Study Phase          | Phase 1<br>Phase 2   |
| Study Design ICMJE   | Endpoint Classification: Safety/Efficacy Study<br>Intervention Model: Single Group Assignment<br>Masking: Open Label<br>Primary Purpose: Treatment   |
| Condition ICMJE      | Traumatic Brain Injury   |
| Intervention ICMJE   | Biological: Autologous cord blood<br>there is no minimum acceptable dose, and the maximum allowable dose will be 10x10(9)cells/kg given IV (in<br>the vein), one time infusion   |
| Study Arm (s)        | Not Provided   |
| Publications *       | Not Provided   |

\* Includes publications given by the data provider as well as publications identified by ClinicalTrials.gov Identifier (NCT Number) in Medline.

| Recruitment Information              |  |  |  |  |
|--------------------------------------|--|--|--|--|
| Recruitment Status ICMJE             | Recruiting   |  |  |  |
| Estimated Enrollment ICMJE           | 10   |  |  |  |
| Estimated Completion Date            | December 2015  |  |  |  |
| Estimated Primary Completion<br>Date | December 2014 (final data collection date for primary outcome measure)   |  |  |  |
| Eligibility Criteria ICMJE           | <ul> <li>Inclusion Criteria:</li> <li>Hospital admission Glasgow Coma Score between 3 and 12 at the time of injury</li> <li>Injury occurring 6 to 18 months prior to study cord blood infusion (+/- 30 days)</li> <li>Ability of child and caregiver to travel to Houston, and stay for at least 4 days, and to return for all Follow-up visits</li> <li>Ability of child to understand (and speak) English</li> <li>Child's own cord blood banked at Cord Blood Registry</li> </ul> |  |  |  |

|                               | Inability to obtain all pertinent medical records, including pertinent physician notes, laboratory find   |              |                    |                                |  |  |
|-------------------------------|---|--------------|--------------------|--------------------------------|--|--|
|                               | and radiographic images, related to   |              |                    |                                |  |  |
|                               | Recent radiographic evidence of ex  |              |                    | •                              |  |  |
|                               | Pre-injury history of seizure disorde   |              | •                  | ent                            |  |  |
|                               | <ul> <li>Obliteration of perimesencephalic cistern on initial head CT/MRI</li> <li>Initial hospital Intracranial Pressure (ICP) &gt; 40</li> <li>Unhealed fractures or wounds including osteomyelitis</li> <li>Pneumonia, or chronic lung disease requiring oxygen</li> </ul> |              |                    |                                |  |  |
|                               |   |              |                    |                                |  |  |
|                               |   |              |                    |                                |  |  |
|                               |   |              |                    |                                |  |  |
|                               | <ul> <li>Spinal cord injury as diagnosed by</li> </ul>  | CT or MR im  | aging or by clinic | al findings                    |  |  |
|                               | <ul> <li>Cord blood sample contamination</li> </ul>   |              |                    |                                |  |  |
|                               | <ul> <li>Participation in a concurrent intervention study</li> </ul>  |              |                    |                                |  |  |
| Gender                        | Both  |              |                    |                                |  |  |
| Ages                          | 18 Months to 17 Years   |              |                    |                                |  |  |
| Accepts Healthy Volunteers    | No  |              |                    |                                |  |  |
| Contacts ICMJE                | Contact: Steven C Kosmach, MSN, R   | N, CCRC      | 713-500-7329       | steven.kosmach@uth.tmc.edu     |  |  |
|                               | Contact: Fernando Jimenez, MS, RN   |              | 713-500-7395       | fernando.jimenz@uth.tmc.edu    |  |  |
| Location Countries ICMJE      | United States   |              |                    |                                |  |  |
| Administrative Information    |   |              |                    |                                |  |  |
| NCT Number ICMJE              | NCT01251003   |              |                    |                                |  |  |
| Other Study ID Numbers ICMJE  | HSC-MS-10-0061  |              |                    |                                |  |  |
| Has Data Monitoring Committee | Yes   |              |                    |                                |  |  |
| Responsible Party             | Charles Cox, The University of Texas Health Science Center, Houston   |              |                    |                                |  |  |
| Study Sponsor ICMJE           | Charles Cox   |              |                    |                                |  |  |
| Collaborators ICMJE           | Not Provided  |              |                    |                                |  |  |
| Investigators ICMJE           | Principal Investigator: Charles S C   | Cox, Jr., MD | University of T    | exas Medical School at Houston |  |  |
| Information Provided By       | The University of Texas Health Science Center, Houston  |              |                    |                                |  |  |
| Verification Date             | August 2013   |              |                    |                                |  |  |